

# Children's ISA Application Form

Provided by Embark Investment Services Ltd



Update to April 2019

**Please check the details below carefully and advise us of any amendments within the next 30 days.**

## Part A Applicant details (and registered contact for the Children's ISA)

**NB. The Applicant must be a person with parental responsibility for the child (unless the Applicant is 16-18 years old).**

|   |  |               |   |                                     |
|---|--|---------------|---|-------------------------------------|
| Your title                              | <input type="text" value="Mr/Mrs/Miss/Ms/Other"/>  | Gender        | <input type="text" value="Male"/>   | <input type="text" value="Female"/> |
| First name                              | <input type="text"/>   | Second name   | <input type="text"/>  |                                     |
| Surname                                 | <input type="text"/>   |               |   |                                     |
| National Insurance no.                  | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Date of Birth | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |                                     |
| Nationality                             | <input type="text"/>   |               |   |                                     |
| Your permanent UK residential address   | <input type="text"/><br><input type="text"/><br><input type="text"/>   |               |   |                                     |
|   | Postcode   |               |   | <input type="text"/>                |
| Daytime telephone no:                   | <input type="text"/>   |               |   |                                     |
| Evening telephone no:<br>(if different) | <input type="text"/>   |               |   |                                     |
| E-mail address<br>(Compulsory)          | <input type="text"/>   |               |   |                                     |

I apply to open a Children's ISA for:

|   |  |   |   |   |   |   |
|---|--|---|---|---|---|---|
| Child's title (if any)                            | <input type="checkbox"/> Mr  | <input type="checkbox"/> Miss             | <input type="checkbox"/> Mrs  | Gender                                    | <input type="text" value="Male"/>         | <input type="text" value="Female"/>       |
| First name  | <input type="text"/>   | Second name                               | <input type="text"/>  |   |   |   |
| Surname   | <input type="text"/>   |   |   |   |   |   |
| Child's address                                   | <input type="text"/><br><input type="text"/><br><input type="text"/> |   |   |   |   |   |
|   | Postcode   |   |   |   |   | <input type="text"/>                      |
| Child's date of birth                             | <input type="text"/> <input type="text"/>                            | <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Must be under 18 when applying.           |   |   |
| Child's National Insurance (NI) number            | <input type="text"/> <input type="text"/>                            | <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/>   | <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> | <input type="text"/> <input type="text"/> |
| OR  |  |   |   |   |   |   |
| The Child stated above does not have an NI number | <input type="checkbox"/>   |   |   |   |   |   |

It is an HMRC requirement that you supply the child's date of birth and National Insurance number, if they have one.

**It is important to note that the Child stated above will be the beneficial owner of the investments held in the Children's ISA.**

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## Part B Subscription details

I apply to subscribe for a Children's ISA for the tax year ending and, where applicable, each subsequent year as detailed below.

5 April 2 0

How much do you want to invest?

The minimum total lump sum/transfer investment is £10 and the minimum monthly payment is £10.

Lump sum £ (Max £9,000 pa) Monthly £ (Max £750 pm)

Transfer £ approx You will also need to complete a separate Transfer Authority Form.

## Part C Investment details

Please give details below of how you would like your Children's ISA invested.

(Minimum investment is £10 per fund).

|            | Investment Approach | Full name of individual fund/investment trust | % of lump sum investment | % of monthly investment |
|------------|---------------------|---|--------------------------|-------------------------|
| 1          | Low Cost            | Low Cost Defensive                            |                          |                         |
| 2          | Low Cost            | Low Cost Balanced                             |                          |                         |
| 3          | Low Cost            | Low Cost Adventurous                          |                          |                         |
| 4          | Actively Managed    | Prudential Defensive Portfolio                |                          |                         |
| 5          | Actively Managed    | Prudential Balanced Portfolio                 |                          |                         |
| 6          | Actively Managed    | Prudential Adventurous Portfolio              |                          |                         |
| 7          | Ethical             | Eden Tree Amity International                 |                          |                         |
| 8          | Shariah Compliant   | SWIP Islamic Global Equity                    |                          |                         |
| 9          | Actively Managed    | Verbatim Defensive Portfolio                  |                          |                         |
| 10         | Actively Managed    | Verbatim Balanced Portfolio                   |                          |                         |
| 11         | Actively Managed    | Verbatim Adventurous Portfolio                |                          |                         |
| 12         |                     |   |                          |                         |
| Must equal |                     |   | 100%                     | 100%                    |

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## Part D Declaration

Please read this section carefully before signing and dating the declaration.

### Important - Data Protection Act

Embark Investment Services Limited will use the personal information you have given to provide and service the Children's ISA you have applied for.

The use of your personal data and a description of the general party(ies) to whom we may disclose it can be found in Embark Investment Services Limited's registration and the Data Protection Register. You can apply for details of information held by us, although we may have to charge a small fee. If you found any of this information to be incorrect it is your right to require us to correct them.

### I declare that:

- I am 16 years of age or over.
- I am the child/I have parental responsibility for that child (delete which does not apply).
- I will be the registered contact for the Junior ISA.
- The child is resident in the UK, or is a UK Crown servant, a dependant of a UK Crown servant or is married to/in a civil partnership with a UK Crown servant.
- I have not subscribed and will not subscribe to another Junior ISA of this type for this child.
- I am not aware that this child has another Junior ISA of this type.
- I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit.
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded.
- I have read and understood the Key Investor Information Document(s) for my fund selection(s).

- I will provide an email address that I regularly use so that you can contact me where necessary.
- I will access regularly the Children's ISA website to keep updated.
- I have not received any investment advice about my application for a Children's ISA with Embark Investment Services Limited. I have responded directly to advertisements issued by The Children's ISA Ltd or as a result of a referral.

### I authorise Embark Investment Services Limited:

- to hold the child's subscriptions, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and cash; and
- to make on the child's behalf any claims to relief from tax in respect of Junior ISA investments.

The Children's ISA Terms and Conditions are those upon which we intend to rely. For your own benefit and protection you should read these terms carefully before signing this Declaration. If you do not understand any point please ask us for further information.

**I declare** that this application has been completed to the best of my knowledge and belief.

Signed

Date

### PLEASE FILL IN WITH BALL POINT PEN

Please send completed Direct Debit mandate to: The Children's ISA limited, Unit 2, Digital Park, Pacific Way, Salford Quays, M50 1DR

## Instruction to your bank or building society to pay by Direct Debit

Bank/building society account number

|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|
|  |  |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|--|

Branch sort code

|  |  |  |  |  |  |
|--|--|--|--|--|--|
|  |  |  |  |  |  |
|--|--|--|--|--|--|

Name(s) of account holder(s)

|  |
|--|
|  |
|  |

Name and full postal address of your bank or building society

|                       |
|-----------------------|
| To: The Manager       |
| Bank/building society |
| Address               |
| Postcode              |

Service user number

|   |   |   |   |   |   |
|---|---|---|---|---|---|
| 4 | 4 | 7 | 9 | 2 | 1 |
|---|---|---|---|---|---|

Reference

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
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|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

### Instruction to your bank or building society

Please pay Embark Investment Services Ltd Direct Debits from the account detailed in this instruction subject to the safeguards assured by the Direct Debit Guarantee.

I understand that this instruction may remain with Embark Investment Services Ltd and, if so, details will be passed electronically to my bank or building society.

Signed

Date

Signed

Date

Banks and building societies may not accept Direct Debit instructions from some types of account

This guarantee should be retained by the payer.

This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.

- If there are any changes to the amount, date or frequency of your Direct Debit, Embark Investment Services Ltd will notify you ten working days in advance of your account being debited or as otherwise agreed. If you request Embark Investment Services Ltd to collect a payment, confirmation of the amount and date will be given to you at the time of request.
- If an error is made in the payment of your Direct Debit, by Embark Investment Services Ltd or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when Embark Investment Services Ltd asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us



## Transfer Authority Form

Please complete a transfer authority for each Cash or Stocks & Shares Junior ISA you wish to transfer to The Children's ISA. We will contact you if for any reason the plan manager cannot make the transfer. This form should be completed along with the appropriate Children's ISA Application Form.

### Transfer Instruction

Please transfer my (Cash / Stocks & Shares\*) Junior ISA.

\* please delete one as applicable.

Approximate value of transfer: £

Current Junior ISA plan manager:

Account number:

Child's Name:

Child's date of birth  
 /  /

Current provider's address:  
  
  
  
Postcode:

I hereby instruct my current Junior ISA plan manager named above to arrange the transfer of my Junior ISA with immediate effect to the new Junior ISA Plan Manager, Embark Investment Services Ltd, Tyman House, 42 Regent Road, Leicester, UK, LE1 6YJ

Registered Contact:  
Mr/Mrs/Miss/Ms/Other:

Forenames:

Surname:

Registered Holder's address:  
  
  
  
Postcode:

Signature:

Date:

### Transfer Instruction

Please transfer my (Cash / Stocks & Shares\*) Junior ISA.

\* please delete one as applicable.

Approximate value of transfer: £

Current Junior ISA plan manager:

Account number:

Child's Name:

Child's date of birth  
 /  /

Current provider's address:  
  
  
  
Postcode:

I hereby instruct my current Junior ISA plan manager named above to arrange the transfer of my Junior ISA with immediate effect to the new Junior ISA Plan Manager, Embark Investment Services Ltd, Tyman House, 42 Regent Road, Leicester, UK, LE1 6YJ

Registered Contact:  
Mr/Mrs/Miss/Ms/Other:

Forenames:

Surname:

Registered Holder's address:  
  
  
  
Postcode:

Signature:

Date:

#### To the Junior ISA plan manager:

Please make cheques payable to **EISL Client Money Account** and send the full Junior ISA history as soon as possible.

Embark Investment Services Limited, a company incorporated in England. Embark Investment Services Limited is authorised and regulated by the Financial Conduct Authority (register number 737356).

Registered office: Tyman House, 42 Regent Road, Leicester, United Kingdom, LE1 6YJ

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## **Please note the following important information:**

Embark Investment Services Limited does not give advice on the selection of investments and strongly recommends that you consult the Children's ISA Limited if you require assistance with investment decisions.

Share/unit prices can go down as well as up. You may not get back the full amount invested when the account is closed. Any tax reliefs referred to are those that currently apply and their value depends on your individual circumstances.

Full details of the Children's ISA can be found in the Key Features document.

If paying regular monthly contributions please bear in mind that if contributions are not maintained, you will be less likely to achieve the investment amount that was originally projected.

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## **Part E Checklist**

### **Have you?....**

- read the Children's ISA Key Features, Key Investor Information Documents and Terms and Conditions?
- checked the Application Form thoroughly and completed all relevant sections.
- completed the separate Transfer Authority Form if transferring another Junior ISA into your Children's ISA?
- included your child's National Insurance number if they have one? If they do not have a National Insurance number then please tick the box to say that they do not have one.

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## **Part F What to do now**

- Thank you very much for taking the time to apply for a Children's ISA.
- If you are making regular monthly payments, remember to detach and keep the direct debit guarantee.
- Keep the remaining literature safe for future reference.

The Children's ISA limited is authorised and regulated by the FCA (FCA No: 563043) The Children's ISA Limited is a company registered in England and Wales. Registered Office: 1 Lowry Plaza, The Quays, Manchester, M50 3UB. Registered Company No: 07486015

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